

Alpha Advisors, LLC

Privacy Policy

Revised April, 2016

Alpha Advisors makes it a top priority to safeguard the confidential information of our clients. We are committed to protecting your privacy and maintaining your trust and confidence.

We collect information about you to assist us in providing services to help you meet your financial goals and provide high standards of service. In addition, information is obtained from you in order to help us fulfill our legal and regulatory requirements. Information collected may vary depending on the services requested and the scope of your engagement with us.

Information Collected About You

Alpha Advisors collects nonpublic personal information about you from but not limited to the following sources:

- Information provided on applications and related forms, such as name, address, telephone number, Social Security or Tax Identification Number, birth date, net worth, annual income, information about your personal finances, financial information such as bank accounts, and medical information.
- Information about your transactions with us, such as account numbers, account balances, and account activity from custodian(s).
- Information from your employer, association, or benefit plan sponsor regarding any group benefits and plans we may review on your behalf.

Policies and Practices to Protect Your Personal Information

In order to maintain confidentiality of your information we restrict access to nonpublic information about you to staff that need to know such information, who assist in providing services to you, or who assist in the administration of the office. We maintain physical, electronic and procedural safeguards that comply with applicable federal or state standards to protect your nonpublic personal information.

Information We Disclose

We do not disclose the nonpublic personal information we collect about our clients to anyone except: (i) in the reasonable and customary facilitation of services from certain service providers, (such as broker-dealers, custodians, independent managers, third-party service providers, insurance companies, etc.);

We do not disclose the nonpublic personal information we collect about our clients to anyone except: (i) in furtherance of our business relationship with certain service providers, and then only to those persons necessary to effect the transactions and provide the services that they authorize (such as broker-dealers, custodians, independent managers, third-party service providers, insurance companies, etc.); (ii) to persons assessing our compliance with industry standards (e.g., professional licensing authorities, etc.); (iii) our attorneys, accountants, and auditors; or (iv) as otherwise provided by law.

From time to time, in the course of providing ongoing financial advice and/or coordinating advice with outside resources (e.g. CPA, attorney, insurance broker, etc.) we will need to provide personal information about you or your accounts. We will not provide any information to such outside resources without your prior authorization.

We may be required by law or regulation to disclose information to third parties such as in response to a subpoena, to prevent fraud, to comply with rules and regulations to which we are subject, or in response to inquiries from industry regulators.

If you close your account, cease services with us, or become an inactive client, we will continue to adhere to our Privacy Policy with respect to your information.

We will affirm our Privacy Policy annually in writing to all active clients.

